

# FEE TRANSMITTAL

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See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Hauck, J.
Examiner Name	
Group / Art Unit	
Attorney Docket No	1270

## METHOD OF PAYMENT (check one)

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## FEE CALCULATION

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101 790	201 395	Utility filing fee	395.00
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			(\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20**	X	
Multiple Dependent	-3**	X	

\*\*or number previously paid, if greater; For Reissues, see below

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103 22	203 11	Claims in excess of 20
102 82	202 41	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 82	209 41	** Reissue independent claims over original patent
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113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
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141 1,320	241 660	Petition to revive - unintentional	
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122 130	122 130	Petitions to the Commissioner	
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126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
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SUBTOTAL (3) (\$)

## SUBMITTED BY

Typed or  
Printed Name

Robert C. Beck

Signature

Robert C. Beck

Date

6/30/98

## Complete (if applicable)

Reg. Number

28,184

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PTO/SB/05 (4/98)  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	1270
	First Inventor or Application Identifier	Hauck, J.
	Title	Chamber Mapping System
	Express Mail Label No.	EI449732214US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <u>6</u> ] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>3</u> <u>4</u> ] 4. Oath or Declaration [Total Pages <u>    </u> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small></li><li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</small></li></ul>	<b>ACCOMPANYING APPLICATION PARTS</b> <ul style="list-style-type: none"><li>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li><li>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></li><li>9. <input type="checkbox"/> English Translation Document (if applicable)</li><li>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</li><li>11. <input type="checkbox"/> Preliminary Amendment</li><li>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></li><li>13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired <small>(PTO/SB/09-12)</small></li><li>14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></li><li>15. <input type="checkbox"/> Other: .....</li></ul>

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☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 08 / 387,832  
Prior application information: Examiner Cohen, L. Group / Art Unit: 3311  
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Name	Beck & Tysver, Suite 440				
Address	1011 First Street South				
City	Hopkins	State	MN	Zip Code	55343
Country		Telephone	612 933 3412	Fax	612 933 3049

Name (Print/Type)	Robert C. Beck	Registration No. (Attorney/Agent)	28,184
Signature	<i>Robert C. Beck</i>	Date	6/30/98

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## Complete if Known

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Filing Date	
First Named Inventor	Hauck, J.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	1270

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SUBTOTAL (3) (\$)

## SUBMITTED BY

Typed or Printed Name	Robert C. Beck		Complete (if applicable)
Signature	<u>Robert C. Beck</u>	Date	6/30/98
		Reg. Number	28,184
		Deposit Account User ID	

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## CHAMBER MAPPING SYSTEM

## Cross Reference to Related Applications

5 The present application is a Continuation-In-Part of 08/387,832 filed 5/26/95 which is incorporated herein in its entirety by reference.

## 1. Field of the Invention

10 The present invention relates generally to the field of electro-physiology and more particularly to a system for creating a three dimensional geometric model or map of a cardiac chamber.

## 2. Background of the Invention

15 Knowledge of the shape of a cardiac chamber is useful in a variety of medical applications. For example, it may be desirable to display electrophysiologic data on a realistically shaped cardiac surface to facilitate diagnostic procedures or to facilitate minimally invasive surgical procedures. It has been shown that the ability to present bio-potentials on such a surface provides a powerful diagnostic tool for understanding cardiac arrhythmia. Such systems are known from U.S. Patent 5,553,611 and U.S. Patent 5,291,549. In prior systems such knowledge is used to  
20 calibrate the system so that physical dimensions displayed to a clinician match the actual dimensions of the heart. Accurate knowledge of chamber geometry throughout the cardiac cycle may provide more computationally efficient methods for nearly real time diagnostic and/or therapeutic interventions. In this sense refined knowledge of the shape of the chamber is useful even if it is not displayed to  
25 the physician.

In general it is desirable to quickly acquire chamber geometry and there is a need to develop methods that accomplish this result in a clinical setting.

## Summary of the Invention

30 In the present invention a catheter having a "location" device is moved along the interior surface of the heart by the clinician. During this procedure the location of the catheter is monitored by a mapping system. This "tracing" process collects a relatively large set of mapping or data points. Each data and each measurement has a set of coordinates in physical space and has a time coordinate  
35 indicating where in the cardiac cycle the point was measured. It is important to note that any of several commercially available systems can be used to collect this coordinate data.

The software based computer system then builds a geometric figure in the form of a polyhedron from the data set. The convex hull methodology results in a polyhedron having triangular "panels". Conventional convex hull modeling  
40 techniques can be used to develop this initial shape. Next a resampling process occurs to "fill in" the data set in preparation for a smoothing operation. Next this convex hull shape is smoothed to represent a more physiologically realistic and computationally tractable shape for further use or display.

45 In use the clinician can control the "resolution" of the map by adding additional points. This map can be used in several ways. First the catheter used to

“trace” the chamber may be used to deliver a therapy which may require the ability to return repeatedly to the same location in the chamber. Since wall location data can be quickly acquired it is possible to track wall motion as the heart beats. The ability to monitor wall motion provides an additional tool for diagnostic use by the clinician.

### Brief Description of the Drawings

The embodiments of the invention shown are illustrative and various modifications may be made to the invention without departing from the scope of the invention. Throughout the figures identical reference numerals refer to equivalent structure, wherein:

Fig. 1 is a schematic diagram of a catheter system;

Fig. 2 is a schematic diagram of a collection of data points developed from the Fig. 1 catheter system;

Fig. 3 is a schematic diagram of a computed convex hull heart surface;

Fig. 4 is a schematic diagram of a resampled convex hull surface;

Fig. 5 is a smoothed computed heart surface;

Fig. 6 is a sequence of smoothed chamber shapes developed during a cardiac cycle; and,

Fig. 7 is a flowchart of method of carrying out the invention.

### Detailed Description

Knowledge of cardiac geometry is useful in a variety applications. For example in the field of electrophysiology it may be desirable to display certain information on a representation of the cardiac surface to aid diagnostic decisions. It may also be helpful to display information on a representation of the cardiac surface to guide a therapeutic intervention. Apart from display, knowledge of chamber geometry may be useful to permit calculation of other variables such as stroke volume or ejection fraction.

Various techniques have been proposed to carry out measurements of catheter location. Although the various techniques differ in detail, most systems involve the generation of a non-ionizing field in the heart and the detection of a catheter element within that field. The source of the field may be exterior of the patient or may be created within the heart itself with an appropriate catheter system. However all of these techniques generate a set of points having locations in physical space. Suitable techniques are known from the incorporated reference and U.S. Patent 5,697,377 to Wittkamp.

Fig. 1 shows a schematic representation of a heart chamber 10 having a catheter 12 in contact with the cardiac surface 14. A field indicated by field arrow 16 creates a detectable signal at the distal element 18 of the catheter 12. The nature of the field dictates the sensor element 18. Electrical fields may be detected by electrodes, while magnetic fields may be detected by magnetic sensors.

In general the physician can manipulate the catheter 12 within the heart chamber tracing out a set of points shown by representative point 20 illustrated as a cross. The clinician may move the catheter 12 at random to develop this set of points. No pattern is implied by the distribution of points and the physician may

select more or fewer locations of interest. The physical location of each measurement point in space is computed and collected by the computer system generally designated 22. At the end of the collection process each member of the set of data points has associated T,X,Y,Z values corresponding to the instant of data collection and the location of the data point in physical space. The data collection process is set forth in a table associated with the computer 22. For example the rows of data labeled 30 32 and 34 represent individual data points.

Fig. 2 is a graphical representation of the results of sequential measurements made in the heart. This figure is intended to show a three dimensional cloud of data points representing the tabular data of Fig. 1. For purposes of this illustration all the data points for all of the discrete measurement periods are displayed together, with representative data points 30, 32 and 34 identified in the figure.

Fig. 3 is a convex hull shape computed for the cloud of points represented in Fig. 2. This surface represents connections between the most exterior points in the data set. Usually the hull is composed of triangular panels. Convex hull algorithms are well known and publicly available software packages are available to perform this calculation, such as QHULL. See for example "The Quickhull Algorithm for Convex Hulls" by C. Bradford Barber et al. as well as the Web site at <http://www.geom.umn.edu/software/qhull/>.

Fig. 4 shows the resampling process carried out on a regular grid to increase the number of points for further computation. The resampling process interpolates between vertices on the exterior of the polygon. In essence intermediate points are defined within each facet of the hull or polyhedron as represented by data point 38. Although the resampling process creates "fictitious" interpolated points these points are useful in the smoothing operation shown in Fig. 5.

Fig. 5 shows a smoothed shape 39 which represents a more realistic contour than the polyhedron. This surface is computed by fitting smooth curves to the enlarged or enhanced data set generated by the resampling process. Conventional smoothing algorithms are used corresponding to a least squares fit. This process yields a mathematically differentiable surface.

Fig. 6 shows the process taken at several different times in the cardiac cycle. For example chamber 40 was reconstructed at time 42, while chamber 44 was reconstructed at time 46. In a similar fashion chamber 48 is reconstructed at time 50. These times correspond to various stages of the heartbeat represented by the QRS complex 52. By tracking wall position as the heart contracts the clinician can extract diagnostic information concerning relative wall position, motion, and acceleration. Although there are numerous ways to use the sequential data, one useful technique is to construct a normal from the surface and to note the point at which it intersects a superimposed hull of greater volume. The distance between the two surfaces is calculated along the direction of the normal and this distance measurement is used to compute velocity and acceleration for the wall at that location.

Fig. 7 shows a flowchart showing an illustrative sequence for carrying out the method of the invention. In process 60 the various data points associated with multiple endocardial locations are collected. Each point in this set has coordinates in space. In general several dozen points are collected. A larger data set results in a

more complex representation of the heart; however, it is computationally more expensive.

5 In process 62 an algorithm is used to compute the convex hull shape. This shape estimates the boundary of the interior of the heart from the set of points. In process 64 the convex hull is resampled on a regular grid of points in physical space. By resampling the computed hull shape on the regular grid, a larger set of points is generated. Most significantly this enlarged set of points ensures that computational points are available along the length of each edge of the hull. In process 66 an algorithm is used for smoothing the convex hull shape. This process forms a  
10 mathematically differentiable shape approximating the physiologic shape of the heart chamber. Any of a number of interpolation processes can be adopted to implement this portion of the process. The final process 68 causes the model to exit to a display routine or other process where the computed shape is used for further analysis.

15 Although a representative illustration of the methodology is given various modifications can be made without departing from the scope of the invention.



What Is Claimed Is:

1. A method of modeling a chamber of the heart comprising:  
collecting a set of points inside the heart, each point having coordinates in  
5 space;  
computing the convex hull shape which estimates the boundary of the heart  
from the set of points.

2. A method of modeling a chamber of the heart comprising:  
collecting a set of points inside the heart, each point having coordinates in  
10 space;  
computing the convex hull shape which estimates the boundary of the heart  
from the set of points:  
resampling the computed hull shape on a regular grid to generate an enlarged  
15 set of points  
smoothing said convex hull shape forming a mathematically differentiable  
shape approximating the physiologic shape of the heart chamber from said enlarged  
set of points.

3. The method of claim 2 wherein said collection process collects points at a set  
of times synchronized with the cardiac rhythm cycle, such that said points have  
physical coordinates in space at a specific time in the cardiac cycle.

4. The method of claim 3 wherein said computing process calculates a convex  
25 hull shape at discrete intervals in time corresponding to various stages of the heart  
cycle, generating several hull shapes.

5. The method of claim 3 wherein said collection of several hull shapes are  
sequentially compared to develop a measurement of cardiac wall position.

6. The method of claim 4 wherein said collection of several hull shapes are  
sequentially compared to develop a measurement of cardiac wall velocity.

7. The method of claim 4 wherein said collection of several hull shapes are  
35 sequentially compared to develop a measurement of cardiac wall acceleration.

# Abstract

A computational process for approximating and representing the shape of the interior of the heart is disclosed.

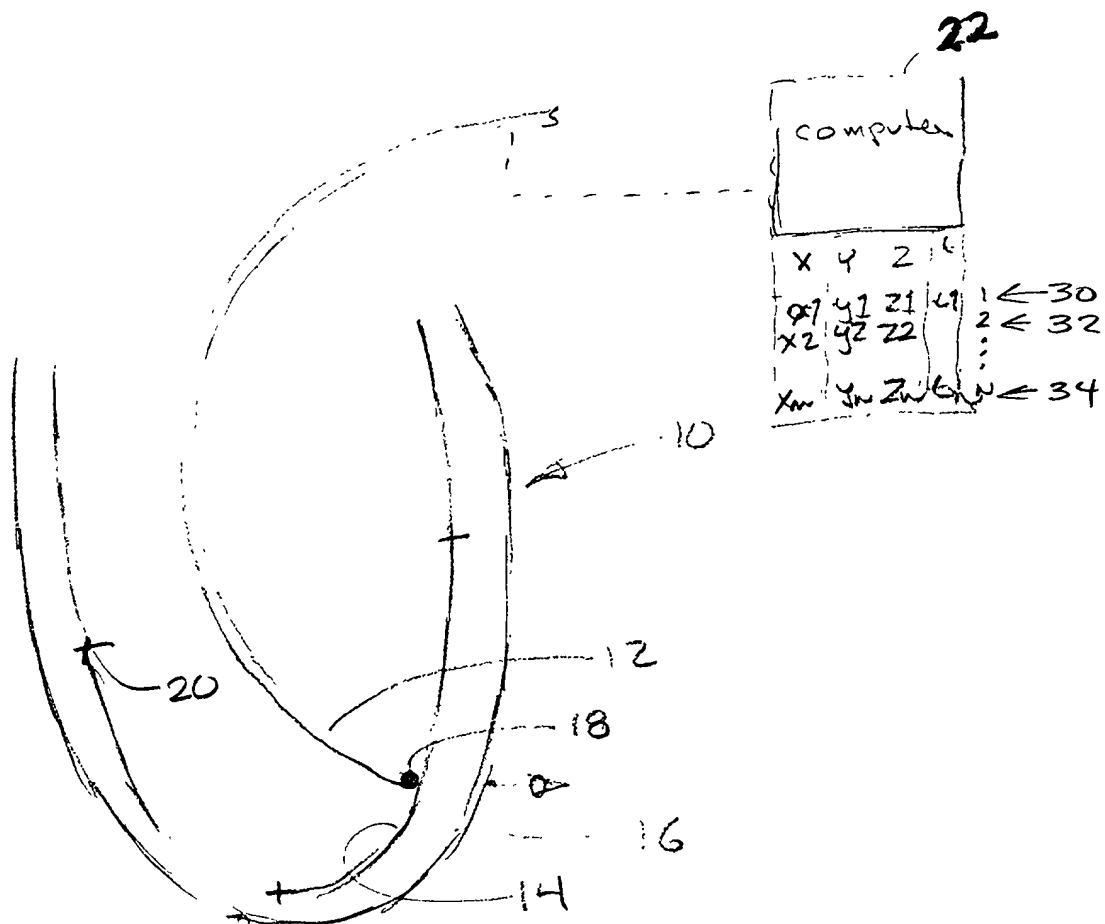
[illegible]

FIG. 1



FIG. 2

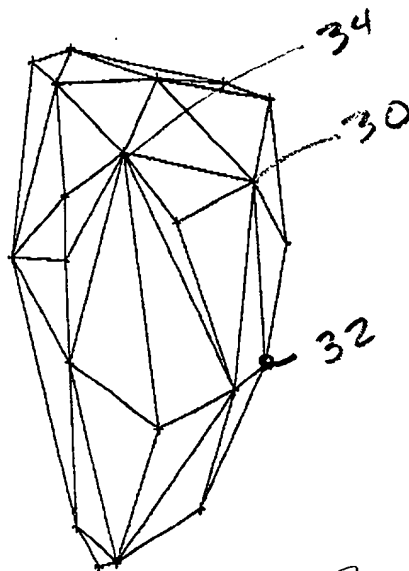


FIG. 3

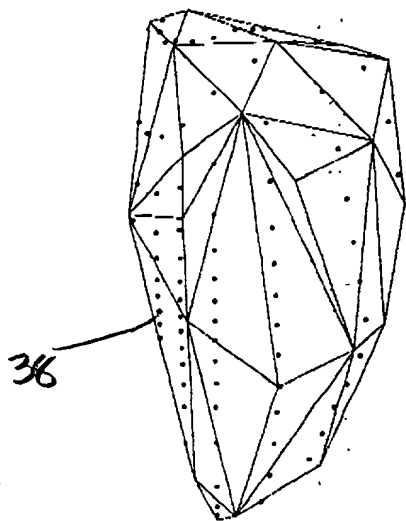


FIG. 4

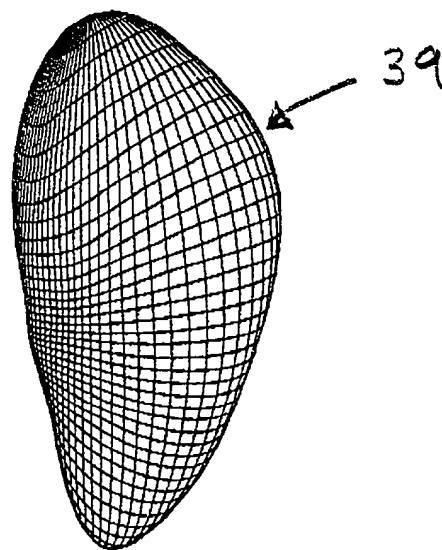
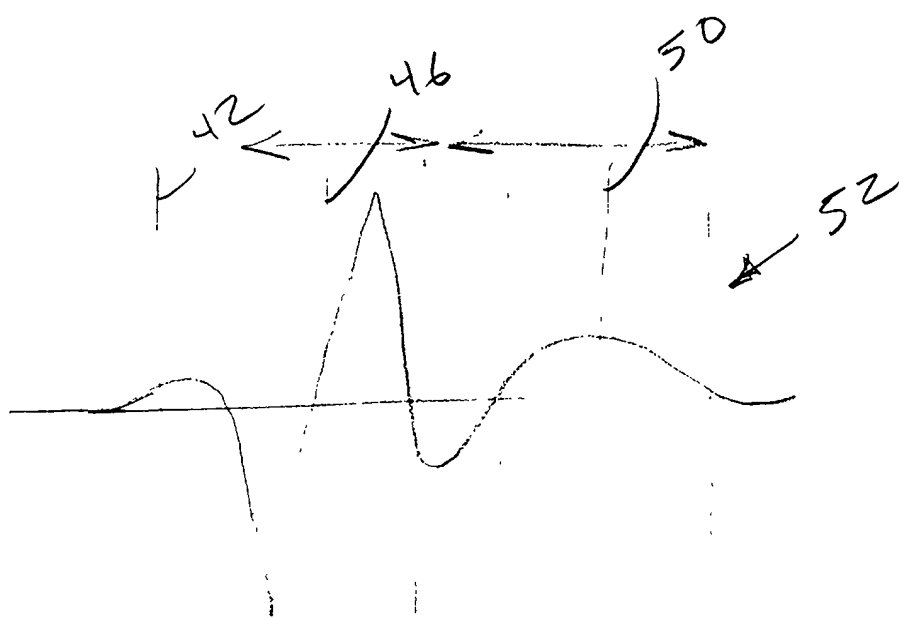


FIG. 5



7C

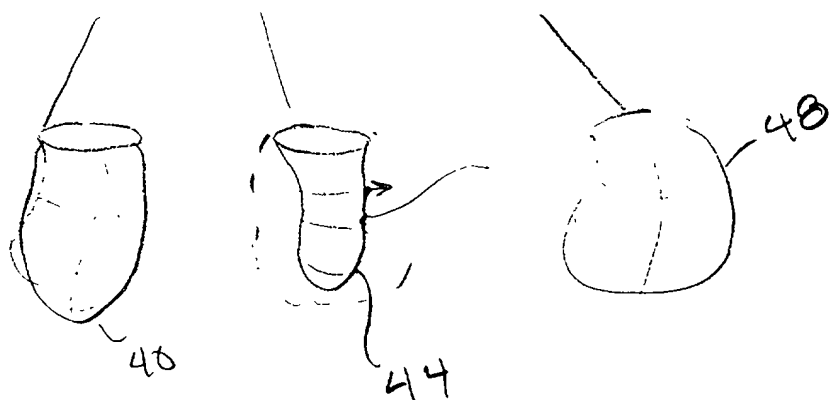


FIG. 6

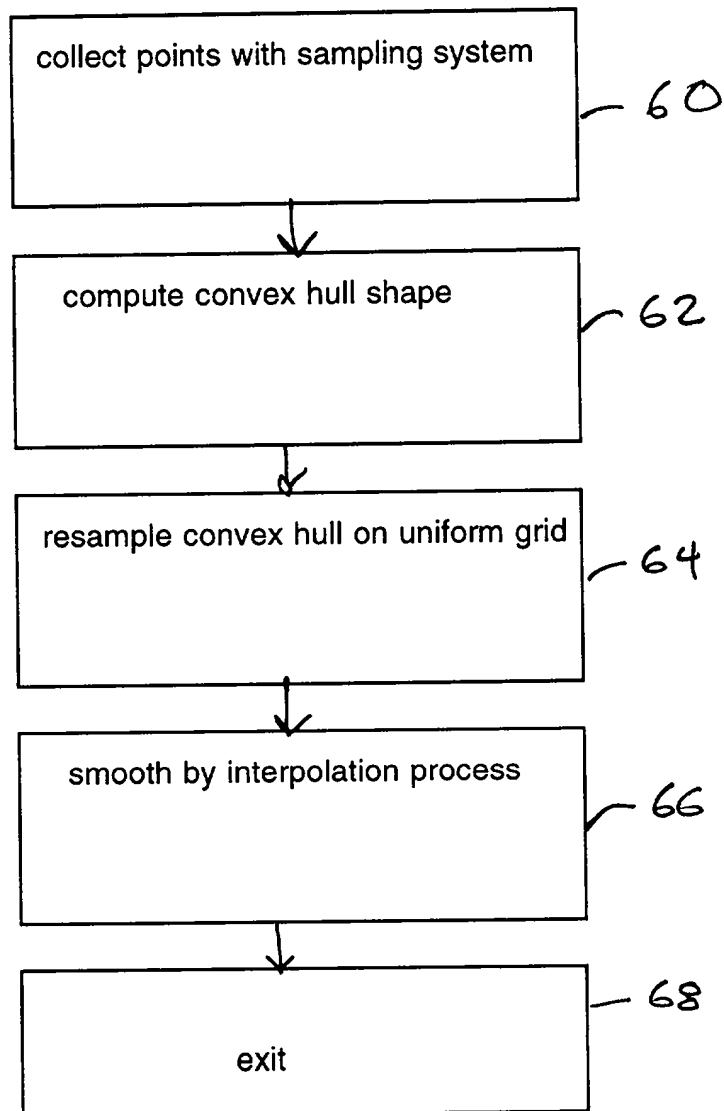


FIG.7

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	<b>First Named Inventor</b>	Hauck, J.
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	/
	<b>Filing Date</b>	
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Chamber Mapping System

☒ the specification of which (Title of the Invention)

is attached hereto  
OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
08/387,832 07/949,690 07/950,448	5/26/95 9/23/92 9/23/92	

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname					
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Post Office Address							
City	Shoreview	State	MN	ZIP	55126	Country	USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto



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## DECLARATION

### ADDITIONAL INVENTOR(S) Supplemental Sheet

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Inventor's Signature	Eric J. Voth			Date	6/16/98		
Residence: City	Maplewood	State	MN	Country	USA	Citizenship	USA
Post Office Address	2176 McAfee Circle						
Post Office Address							
City	Maplewood	State	MN	ZIP	55109	Country	USA
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Clifford B.				Miller			
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Residence: City	Bridgewater	State	NJ	Country	USA	Citizenship	USA
Post Office Address	81 Claire Drive						
Post Office Address							
City	Bridgewater	State	NJ	ZIP	08807	Country	USA
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